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ZHE LI
75 Poncetta Drive, #2
Daly City, CA 94015

COPY MAILED

In re Application of :
Hadi Partovi et al. :
Application No. 09/513,236 :
Filed: February 24, 2000 :
For: METHOD AND APPARATUS FOR : LETTER
CONTENT PERSONALIZATION OVER A :
TELEPHONE INTERFACE WITH :
ADAPTIVE PERSONALIZATION :
:

OCT 03 2000

OFFICE OF PETITIONS
A/C PATENTS

Dear Mr. Li,

You are named as a joint inventor in the above-identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Inquiries related to this communication may be addressed to Kien Nguyen at (703) 306-5592 or in his absence, the undersigned at (703) 305-1820. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1 (800) 972-6382 (outside the Washington D.C. area).

Brian Hearn

Brian Hearn
Special Projects Examiner
Office of Petitions
Office of the Deputy Assistant Commissioner
for Patent Examination Policy

cc:
WAGNER, MURABITO & HAO LLP
Two North Market Street
Third Floor
San Jose, CA 95113



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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NOTICE OF FINAL RULEMAKING

PATENT FEE REVISIONS

The United States Patent and Trademark Office (USPTO) has amended the rules of practice in patent cases to adjust certain patent fee amounts to reflect fluctuations in the Consumer Price Index (CPI).

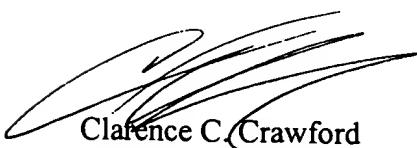
A notice was published in the *Federal Register* on August 11, 2000, and in the *Official Gazette of the United States Patent and Trademark Office* on August 29, 2000.

Any fee amount paid on or after October 1, 2000, is subject to the new fee schedule.

No trademark fees are affected by this notice.

We revise our fees periodically, usually on October 1. In addition, we expect to publish several rule notices to implement the American Inventors Protection Act of 1999 and to support the Patent Business Goals in the upcoming months. Since these notices will create and modify numerous fees, an updated fee schedule will be printed when appropriate.

The fee schedule is available on our Web site at www.uspto.gov. The most up-to-date fee amounts and information are also available on our Web site. If you have any questions related to patents and trademarks, please contact the General Information Services Division at (703) 308-4357 or (800) 786-9199, or by fax at (703) 305-7786.



Clarence C. Crawford
Chief Financial Officer
and Chief Administrative Officer

UNITED STATES PATENT AND TRADEMARK OFFICE
Effective October 1, 2000

The United States Patent and Trademark Office (USPTO) has adjusted certain patent fee amounts to reflect fluctuations in the Consumer Price Index (CPI). Any fee amount paid on or after October 1, 2000, must be paid in the revised amount.

The fees subject to reduction for small entities that have established status (37 CFR 1.27) are shown in a separate column. For additional information, please contact the General Information Services Division at (703) 308-4357 or (800) 786-9199.

Fee Code	37 CFR	Description	Fee	Small Entity Fee (if applicable)
<u>Patent Filing Fees</u>				
101/201	1.16(a)	Basic filing fee - Utility	710.00	355.00
131/231	1.16(a)	Basic filing fee - Utility (CPA)	710.00	355.00
102/202	1.16(b)	Independent claims in excess of three	80.00	40.00
103/203	1.16(c)	Claims in excess of twenty	18.00	9.00
104/204	1.16(d)	Multiple dependent claim	270.00	135.00
105/205	1.16(e)	Surcharge - Late filing fee or oath or declaration	130.00	65.00
106/206	1.16(f)	Design filing fee	320.00	160.00
132/232	1.16(f)	Design filing fee (CPA)	320.00	160.00
107/207	1.16(g)	Plant filing fee	490.00	245.00
133/233	1.16(g)	Plant filing fee (CPA)	490.00	245.00
108/208	1.16(h)	Reissue filing fee	710.00	355.00
134/234	1.16(h)	Reissue filing fee (CPA)	710.00	355.00
109/209	1.16(i)	Reissue independent claims over original patent	80.00	40.00
110/210	1.16(j)	Reissue claims in excess of 20 and over original patent	18.00	9.00
114/214	1.16(k)	Provisional application filing fee	150.00	75.00
127/227	1.16(l)	Surcharge - Late provisional filing fee or cover sheet	50.00	25.00
139	1.17(k)	Non-English specification	130.00	
<u>Patent Issue Fees</u>				
142/242	1.18(a)	Utility issue fee	1,240.00	620.00
143/243	1.18(b)	Design issue fee	440.00	220.00
144/244	1.18(c)	Plant issue fee	600.00	300.00
<u>Patent Maintenance Fees</u>				
183/283	1.20(e)	Due at 3.5 years	850.00	425.00
184/284	1.20(f)	Due at 7.5 years	1,950.00	975.00
185/285	1.20(g)	Due at 11.5 years	2,990.00	1,495.00
	1.20(h)	Surcharge - Late payment within 6 months	130.00	65.00
187	1.20(i)(1)	Surcharge after expiration - Late payment is unavoidable	700.00	
188	1.20(i)(2)	Surcharge after expiration - Late payment is unintentional	1,640.00	
<u>Miscellaneous Patent Fees</u>				
179/279	1.17(e)	Request for continued examination (RCE) (see 37 CFR 1.114)	710.00	355.00
112	1.17(n)	Request for publication of SIR - Prior to examiner's action	920.00*	
113	1.17(o)	Request for publication of SIR - After examiner's action	1,840.00*	
146/246	1.17(r)	For filing a submission after final rejection (see 37 CFR 1.129(a))	710.00	355.00
149/249	1.17(s)	For each additional invention to be examined (see 37 CFR 1.129(b))	710.00	355.00
145	1.20(a)	Certificate of correction	100.00	
147	1.20(c)	For filing a request for reexamination	2,520.00	
148/248	1.20(d)	Statutory disclaimer	110.00	55.00

* Reduced by basic filing fee paid.

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Trademark Processing Fees

361	2.6(a)(1)	Application for registration, per class	325.00
362	2.6(a)(2)	Filing an Amendment to Allege Use under § 1(c), per class	100.00
363	2.6(a)(3)	Filing a Statement of Use under § 1(d)(1), per class	100.00
364	2.6(a)(4)	Filing a Request for a Six-month Extension of Time for Filing a Statement of Use under § 1(d)(1), per class	150.00
365	2.6(a)(5)	Application for renewal, per class	400.00
366	2.6(a)(6)	Additional fee for filing renewal application during grace period, per class	100.00
380	2.6(a)(21)	Correcting a deficiency in a renewal application	100.00
367	2.6(a)(7)	Publication of mark under § 12(c), per class	100.00
368	2.6(a)(8)	Issuing new certificate of registration	100.00
369	2.6(a)(9)	Certificate of correction, registrant's error	100.00
370	2.6(a)(10)	Filing disclaimer to registration	100.00
371	2.6(a)(11)	Filing amendment to registration	100.00
372	2.6(a)(12)	Filing § 8 affidavit, per class	100.00
381	2.6(a)(14)	Additional fee for filing § 8 affidavit during grace period, per class	100.00
382	2.6(a)(20)	Correcting a deficiency in a § 8 affidavit	100.00
373	2.6(a)(13)	Filing § 15 affidavit, per class	200.00
375	2.6(a)(15)	Petition to the Commissioner	100.00
376	2.6(a)(16)	Petition for cancellation, per class	300.00
377	2.6(a)(17)	Notice of opposition, per class	300.00
378	2.6(a)(18)	Ex parte appeal, per class	100.00
379	2.6(a)(19)	Dividing an application, per new application (file wrapper) created	100.00

Trademark Service Fees

461	2.6(b)(1)(i)	Printed copy of each registered mark, reg. service, dely. by USPS, fax, or USPTO Box	3.00
462	2.6(b)(1)(ii)	Printed copy of each registered mark, next bus. day dely. to USPTO Box or fax dely. ..	6.00
464	2.6(b)(4)(i)	Certified copy of registered mark, with title and/or status, regular service	15.00
465	2.6(b)(4)(ii)	Certified copy of registered mark, with title and/or status, expedited local service	30.00
466	2.6(b)(2)(i)	Certified copy of trademark application as filed, regular service	15.00
467	2.6(b)(2)(ii)	Certified copy of trademark application as filed, expedited local service	30.00
468	2.6(b)(3)	Certified or uncertified copy of trademark-related file wrapper and contents	50.00
469	2.6(b)(5)	Certified or uncertified copy of trademark document, unless otherwise provided	25.00
470	2.6(b)(7)	For assignment records, abstracts of title and certification per registration	25.00
475	1.19(g)	Comparing and certifying copies, per document, per copy	25.00
480	2.6(b)(9)	Self-service copy charge, per page	0.25
481	2.6(b)(6)	Recording trademark assignment, agreement or other paper, first mark per document ..	40.00
482	2.6(b)(6)	For second and subsequent marks in the same document	25.00
484	2.6(b)(10)	Labor charges for services, per hour or fraction thereof	40.00
485	2.6(b)(11)	Unspecified other services, excluding labor	AT COST
488	2.6(b)(8)	X-SEARCH terminal session time, per hour	40.00
490	1.24	Trademark coupons	3.00

Fastener Quality Act Fees

650	2.7(a)	Recordal application fee	20.00
651	2.7(b)	Renewal application fee	20.00
652	2.7(c)	Late fee for renewal application	20.00

Patent Service Fees

561	1.19(a)(1)(i)	Printed copy of patent w/o color, regular service, delivery by USPS, USPTO Box, or electronic means	3.00
562	1.19(a)(1)(ii)	Printed copy of patent w/o color, next business day delivery to USPTO Box.....	6.00
564	1.19(a)(2)	Printed copy of plant patent, in color	15.00
565	1.19(a)(3)	Copy of utility patent or SIR, with color drawings	25.00
566	1.19(b)(1)(i)	Certified copy of patent application as filed, regular service	15.00
567	1.19(b)(1)(ii)	Certified copy of patent application, expedited local service	30.00
568	1.19(b)(2)	Certified or uncertified copy of patent-related file wrapper and contents	150.00
569	1.19(b)(3)	Certified or uncertified copy of document, unless otherwise provided	25.00
570	1.19(b)(4)	For assignment records, abstract of title and certification, per patent	25.00
571	1.19(c)	Library service	50.00
572	1.19(d)	List of U.S. patents and SIRs in subclass	3.00
573	1.19(e)	Uncertified statement re status of maintenance fee payments	10.00
574	1.19(f)	Copy of non-U.S. document	25.00
575	1.19(g)	Comparing and certifying copies, per document, per copy	25.00
576	1.19(h)	Additional filing receipt, duplicate or corrected due to applicant error	25.00
577	1.21(c)	Disclosure document filing fee	10.00
578	1.21(d)	Local delivery box rental, annually	50.00
579	1.21(e)	International type search report	40.00
580	1.21(g)	Self-service copy charge, per page	0.25
581	1.21(h)	Recording each patent assignment, agreement or other paper, per property	40.00
583	1.21(i)	Publication in <i>Official Gazette</i>	25.00
584	1.21(j)	Labor charges for services, per hour or fraction thereof	40.00
585	1.21(k)	Unspecified other services, excluding labor	AT COST
586	1.21(l)	Retaining abandoned application	130.00
587	1.21(n)	Handling fee for incomplete or improper application	130.00
588	1.21(o)	APS-Text terminal session time, per hour	40.00
592	1.21(k)	APS-CSIR terminal session time, per hour	50.00
590	1.24	Patent coupons	3.00
589	1.296	Handling fee for withdrawal of SIR	130.00

Patent Enrollment Fees

609	1.21(a)(1)(i)	Application fee (non-refundable)	40.00
619	1.21(a)(1)(ii)	Registration examination fee	310.00
610	1.21(a)(2)	Registration to practice	100.00
611	1.21(a)(3)	Reinstatement to practice	40.00
612	1.21(a)(4)	Copy of certificate of good standing	10.00
613	1.21(a)(4)	Certificate of good standing - suitable for framing	20.00
615	1.21(a)(5)	Review of decision of Director, Office of Enrollment and Discipline	130.00
616	1.21(a)(6)(i)	Regrading of seven or fewer questions	230.00
620	1.21(a)(6)(ii)	Regrading of eight or more questions	460.00

GENERAL FEESFinance Service Fees

607	1.21(b)(1)	Establish deposit account	10.00
608	1.21(b)(2)	Service charge for below minimum balance	25.00
608	1.21(b)(3)	Service charge for below minimum balance restricted subscription deposit account	25.00
617	1.21(m)	Processing each payment refused or charged back	50.00

Computer Service Fees

618		Computer records	AT COST
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Fee Code	37 CFR	Description	Fee	Small Entity Fee (if applicable)
Patent Extension Fees				
115/215	1.17(a)(1)	Extension for response within first month	110.00	55.00
116/216	1.17(a)(2)	Extension for response within second month	390.00	195.00
117/217	1.17(a)(3)	Extension for response within third month	890.00	445.00
118/218	1.17(a)(4)	Extension for response within fourth month	1,390.00	695.00
128/228	1.17(a)(5)	Extension for response within fifth month	1,890.00	945.00
Patent Appeals/Interference Fees				
119/219	1.17(b)	Notice of appeal	310.00	155.00
120/220	1.17(c)	Filing a brief in support of an appeal	310.00	155.00
121/221	1.17(d)	Request for oral hearing	270.00	135.00
Patent Petition Fees				
122	1.17(h) or (i)	Petitions to the Commissioner, unless otherwise specified	130.00	
126	1.17(p)	Submission of an Information Disclosure Statement (§ 1.97(c))	240.00	
138	1.17(j)	Petition to institute a public use proceeding	1,510.00	
140/240	1.17(l)	Petition to revive unavoidably abandoned application	110.00	55.00
141/241	1.17(m)	Petition to revive unintentionally abandoned application	1,240.00	620.00
123	1.17(q)	Petitions related to provisional applications	50.00	
111	1.20(j)(1)	Extension of term of patent	1,120.00	
124	1.20(j)(2)	Initial application for interim extension (see 37 CFR 1.790)	420.00	
125	1.20(j)(3)	Subsequent application for interim extension (see 37 CFR 1.790)	220.00	
PCT Fees - National Stage				
154/254	1.492(e)	Oath or declaration after twenty or thirty months from priority date	130.00	65.00
156	1.492(f)	English translation after twenty or thirty months from priority date	130.00	
956/957	1.492(a)(1)	IPEA - U.S.	690.00	345.00
958/959	1.492(a)(2)	ISA - U.S.	710.00	355.00
960/961	1.492(a)(3)	USPTO not ISA or IPEA	1,000.00	500.00
962/963	1.492(a)(4)	Claims meet PCT Article 33(1)-(4) - IPEA - U.S.	100.00	50.00
964/965	1.492(b)	Claims - extra independent (over three)	80.00	40.00
966/967	1.492(c)	Claims - extra total (over twenty)	18.00	9.00
968/969	1.492(d)	Claims - multiple dependent	270.00	135.00
970/971	1.492(a)(5)	For filing with EPO or JPO search report	860.00	430.00
PCT Fees - International Stage				
150	1.445(a)(1)	Transmittal fee	240.00	
151	1.445(a)(2)	PCT search fee - no U.S. application	700.00	
152	1.445(a)(3)	Supplemental search per additional invention	210.00	
153	1.445(a)(2)	PCT search - prior U.S. application	450.00	
190	1.482(a)(1)	Preliminary examination fee - ISA was the U.S.	490.00	
191	1.482(a)(1)	Preliminary examination fee - ISA not the U.S.	750.00	
192	1.482(a)(2)	Additional invention - ISA was the U.S.	140.00	
193	1.482(a)(2)	Additional invention - ISA not the U.S.	270.00	
PCT Fees to WIPO				
800		Basic fee (first thirty pages)	427.00*	
801		Basic supplemental fee (for each page over thirty)	10.00*	
803		Handling fee	153.00*	
899		Designation fee per country	92.00*	
PCT Fee to EPO				
802		International search	925.00*	

* WIPO and EPO fees subject to periodic change due to fluctuations in exchange rate. Refer to the *Official Gazette of the United States Patent and Trademark Office* for current amounts.

PAYMENTS FROM FOREIGN COUNTRIES MUST BE PAYABLE AND IMMEDIATELY NEGOTIABLE IN THE UNITED STATES FOR THE FULL AMOUNT OF THE FEE REQUIRED